# **PCT**

REC'D 0 4 MAR 2002

# INTERNATIONAL PRELIMINARY EXAMINATION WEDOR

(PCT Article 36 and Rule 70)

Applicant's	or an	ent's file reference	1				
KENF/P			FOR FURTHER A	CTION		ation of Transmittal of Internat Examination Report (Form P	
Internation	al app	lication No.	International filing date (	day/month	/year)	Priority date (day/month/yea	ar)
PCT/GB	00/00	3660	25/09/2000			24/09/1999	
A61K39/	/00	ent Classification (IPC) or nat					
THE MA	THIL	DA AND TERENCE KE	ENNEDY INSTITUTE	OF			
and i	s tran	smitted to the applicant a	ccording to Article 36.			rnational Preliminary Exar	nining Authority
2. This	HEPC	ORT consists of a total of	11 sheets, including tr	ns cover s	sneet.		
( (	een a see F		is for this report and/or 7 of the Administrative	sheets co	ontaining re	n, claims and/or drawings of the control of the control of the PCT).	
3. This	report	contains indications related Basis of the report	ting to the following iter	ns:			
ll II		Priority					
III	$\boxtimes$			ovelty, inv	entive step a	and industrial applicability	
IV	⊠ S7	Lack of unity of inventio					
\ \ \	×	Reasoned statement un citations and explanatio			ovelty, inve	ntive step or industrial app	licability;
Vi		Certain documents cite					
VII	$\boxtimes$	Certain defects in the in	ternational application				
VIII	$\boxtimes$	Certain observations on	the international appli	cation			
Date of sub	missio	on of the demand		Date of c	ompletion of t	his report	
18/04/20	01			04.03.20	02		
		g address of the international ining authority:		Authorize	ed officer		STOP A SCHES PAIEVILLA
<u>)</u>	NL-2	ppean Patent Office - P.B. 58 2280 HV Rijswijk - Pays Bas +31 70 340 - 2040  Tx: 31 65		K. Mulle	er-Thomall	a	TOWN NO. STATE OF THE PARTY OF

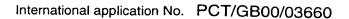
Telephone No. +31 70 340 4230

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

### I. Basis of the report

	and		response to an invitation un o this report since they do n			
	1-79	9	as originally filed			
	Cla	ims, No.:				
	1-48	8	as received on	14/01/2002	with letter of	11/01/2002
	Dra	wings, sheets:				
	1/9-	-9/9	as originally filed			
2.			guage, all the elements mar international application was			•
	The	ese elements were a	available or furnished to this	Authority in the fo	ollowing language	: , which is:
		• •	translation furnished for the			h (under Rule 23.1(b)).
		the language of pu	ublication of the internationa	I application (unde	er Rule 48.3(b)).	
		the language of a 55.2 and/or 55.3).	translation furnished for the	purposes of inter	national prelimina	ry examination (under Rule
3.			eleotide and/or amino acid ry examination was carried o			
		contained in the in	iternational application in wr	itten form.		
		filed together with	the international application	in computer read	lable form.	
		furnished subsequ	ently to this Authority in writ	tten form.		
		furnished subsequ	rently to this Authority in cor	mputer readable fo	orm.	
			t the subsequently furnished pplication as filed has been	•	e listing does not (	go beyond the disclosure in
		The statement tha listing has been fu	t the information recorded in irnished.	n computer readal	ole form is identica	al to the written sequence
4.	The	amendments have	e resulted in the cancellation	of:		
		the description,	pages:			
		the claims,	Nos.:	•		

1. With regard to the elements of the international application (Replacement sheets which have been furnished to





		the drawings,	sheets:
5.		This report has been considered to go be	n established as if (some of) the amendments had not been made, since they have been yond the disclosure as filed (Rule 70.2(c)):
		(Any replacement st report.)	neet containing such amendments must be referred to under item 1 and annexed to this
6.	Add	ditional observations, i	f necessary:
111.	. No	n-establishment of o	pinion with regard to novelty, inventive step and industrial applicability
1.	The obv	e questions whether the	e claimed invention appears to be novel, to involve an inventive step (to be non- ially applicable have not been examined in respect of:
		the entire internation	al application.
N	⊠ and	claims Nos. whole of IS.	claims 1-6,28,47,48 with respect to IA and part of claims 1-6 and 24-48 with respect to
be	caus	se:	
	⊠	the said international relate to the following (specify): see separate sheet	application, or the said claims Nos. 1-6,28,47,48 (with respect to industrial applicability) subject matter which does not require an international preliminary examination
			ns or drawings ( <i>indicate particular elements below</i> ) or said claims Nos. are so unclear opinion could be formed ( <i>specify</i> ):
		the claims, or said cla	aims Nos. are so inadequately supported by the description that no meaningful opinion
	$\boxtimes$	no international searc	ch report has been established for the said claims Nos. Part of claims 1-6 and 24-48.
2.	and	eaningful internationa /or amino acid sequer ructions:	I preliminary examination cannot be carried out due to the failure of the nucleotide ace listing to comply with the standard provided for in Annex C of the Administrative
		the written form has r	not been furnished or does not comply with the standard.
		the computer readabl	e form has not been furnished or does not comply with the standard.
IV.	Lac	k of unity of inventio	en ·

1. In response to the invitation to restrict or pay additional fees the applicant has:

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

		restricted the claims.			
	Ø	paid additional fees.			
		paid additional fees und	ler prote	est.	
		neither restricted nor pa	id addit	ional fees	S.
2.		This Authority found tha 68.1, not to invite the ap	t the re	quiremen to restrict	t of unity of invention is not complied and chose, according to Rule or pay additional fees.
3.	This	Authority considers that	the rec	quirement	of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is
		complied with.			
		not complied with for the	e followi	ng reaso	ns:
4.		sequently, the following mination in establishing t			national application were the subject of international preliminary
	×	all parts.			
		the parts relating to clair	ns Nos.		
V.		soned statement under			ith regard to novelty, inventive step or industrial applicability; h statement
1.	Stat	ement			
	Nov	elty (N)	Yes: No:	Claims Claims	1-23,25-44,46-48 24,45
	Inve	ntive step (IS)	Yes: No:	Claims Claims	1-48
	Indu	strial applicability (IA)	Yes: No:	Claims Claims	7-27,29-46
		tions and explanations separate sheet			

### VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

### VIII. Certain observations on the international application

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB00/03660

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

# INTERNATIONAL PRELIMINARY inte

# Reference is made to the following documents:

- D1: FOXWELL BRIAN ET AL: "Efficient adenoviral infection with IkappaBalpha reveals that macrophage tumor necrosis factor alpha production in rheumatoid arthritis is NF-kappaB dependent." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES, vol. 95, no. 14, 7 July 1998 (1998-07-07), pages 8211-8215, July 7, 1998 ISSN: 0027-8424
- D2: EIGLER A ET AL: "Taming TNF: strategies to restrain this proinflammatory cytokine" IMMUNOLOGY TODAY, GB, ELSEVIER PUBLICATIONS, CAMBRIDGE, vol. 18, no. 10, 1 October 1997 (1997-10-01), pages 487-492, ISSN: 0167-5699
- D3: SEBBAG M ET AL: "Cytokine stimulation of T lymphocytes regulates their capacity to induce monocyte production of tumor necrosis factor-alpha, but not interleukin-10: possible relevance to pathophysiology of rheumatoid arthritis." EUROPEAN JOURNAL OF IMMUNOLOGY, (1997 MAR) 27 (3) 624-32.
- D4: CHABOT S ET AL: "Microglial production of TNF alpha is induced by activated T lymphocytes. Involvement of VLA-4 and inhibition by interferonbeta-1b." JOURNAL OF CLINICAL INVESTIGATION, (1997 AUG 1) 100 (3) 604-12.
- D5: AVICE M N ET AL: "Lymphocyte activation gene-3, a MHC class II ligand expressed on activated T cells, stimulates TNF alpha and IL-12 production by monocytes and dendritic cells." JOURNAL OF IMMUNOLOGY, (1999 MAR 1) 162 (5) 2748-53.
- D6: US 5 085 985 A (MAINO VERNON C ET AL) 4 February 1992 (1992-02-04)
- D7: MACLEAN J A ET AL: "Anti -CD3: anti IL 2 receptor bispecific monoclonal antibody. Targeting of activated T cells in vitro." JOURNAL OF IMMUNOLOGY, (1993 FEB 15) 150 (4) 1619-28.

See in particular those passages cited as relevant in the International Search Report.

#### section III

- 1. Claims 1-6,28,47 and 48 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject- matter of these claims (Article 34(4)(a)(i) PCT).
- With respect to the subject-matter of part of claims 1-6 and 24-48 examined in the present IPER, please see International Search Report Box 3, ISA Form/206 relating to the observations on claimed subject-matter found unsearchable and sections V and VIII below.

#### section IV

The amended claims 1-48 are now considered to satisfy the conditions of unity of invention.

#### section V

- The present invention according to amended claims 1-48 relates to treatment of chronic inflammatory disease comprising the administration of an inhibitor of cytokine activated T cells (designated as Tck cells) opposed to TRC activated cells (e.g. by anti-CD3 antibodies). The only claimed inhibitors searched are nf-kappa inhibitors such as IkappaBalpha and antibodies having specificity for generally activated T- cells.
- 2. The present application does not satisfy the requirements of Article 33(2)PCT for lack of novelty of claims 24 and 45 in the light of the cited prior art (see in e.g.D1 which discloses compounds which have efficacy in the treatment of chronic inflammatory disease such as nf-kappa inhibitors, for instance IkappaBalpha). Whether the compound has been identified according to a certain method (in this case the method according to any one of claims 7-23) does not confer any novel defined technical features to the known compound and therefore does not contribute in establishing the required novelty according to Article 33(2) PCT.

- 3. The remaining claims might formally satisfy the requirements of Article 33(2) PCT but nevertheless contravene Article 33(3) PCT: (With respect to the novelty of any claims relating to Tck-cell specific antibodies see section VIII below).
- 3.1 Claim 1 was amended to include a further functional feature defined in terms of a physiological effect to be achieved by the administration of a compound that "selectively" inhibits Tck cells, that is by rendering the Tck cells functionally inhibited with respect to their ability to activate monocytes and/or by reducing the number of the Tck cells.

This newly added functional feature cannot be taken into consideration as it has not been searched and can thus not be examined with respect to its relevance in the context of Articles 33(2) and (3) PCT. Said functional feature is furthermore not in line with the definition of the corresponding method as originally described throughout the description and as claimed in the original claims 1-4 (see e.g. description, page 5, line 21 onwards) which covered both indirect and direct mechanisms leading to the inhibition of the production of pro-inflammatory cytokines in RA synovial tissue.

Attention is again drawn to the fact that only the use of IkappaBalpha and antibodies specific for activated T-cells (not limited to cytokine-activated T-cells) was searched. No further "specific" substances which would actually identify or act upon a precisely defined T cell population such as Tck cells (unambiguously distinguishable from well known T-cell (activated) populations identifiable with the standard surface markers) that would supposedly specifically mediate the production of pro-inflammatory cytokines in RA, have been disclosed in the present application (in this respect, see requirements of Articles 5 and 6 PCT). It should be noted that this is also the case for the subject-matter of claim 6 which relates to nucleic acid molecules encoding a polypeptide which selectively inhibits Tck cells, such as for instance the above mentioned antibodies (or fragments thereof).

3.2 Thus amended claims 1-6 and 46-48 are considered to lack an inventive step under Article 33(3) PCT for the following reasons:

# INTERNATIONAL PRELIMINARY **EXAMINATION REPORT - SEPARATE SHEET**

Document D1 discloses that adenoviral infection with IkappaBalpha inhibits the production of TNFalpha by macrophages in rheumatoid arthritis. Said document does not explicitly describe that patients were actually treated with the abovementioned substance, but strongly suggests to use the above inhibitor as a therapeutic agent in the present context.

3.3 Document D3, discloses that cytokine stimulation of T lymphocytes regulates their capacity to induce monocyte production of tumour necrosis factor alpha (TNFalpha). Although no experimental data is disclosed in this document, it explicitly suggests a possible relevance in the pathophysiology of RA. In this respect, the proinflammatory role of TNF is well known, e.g. from D2 which discloses strategies to restrain TNFalpha in e.g RA with various components including NF-kappaB inhibitors, anti-TNFalpha antibodies and various cytokines or synthetic drugs. The skilled person would thus be lead to investigate the possibility of regulating the detrimental TNFalpha production by influencing (by e.g. reducing, inhibiting) the activity of Tck cells on monocytes.

#### 3.3.1

Thus, in the light of D1 combined with D2 and D3, methods for identifying compounds with a desired RA-treatment efficacy, in this case by testing the ability to selectively inhibit Tck cells would appear to be straightforward. Consequently the subject-matter of claim 7 lacks an inventive step. Claims 8-23 related to said claim 7 concern embodiments which are either known per se or do not appear to contain any additional features which would confer the required inventive step to the said claimed subject-matter. With respect to the subjectmatter of claims 21-23 which relate to a method for detecting PI3 kinase activation activity, please see section VIII below).

#### 3.3.2

The same objection applies to the antibodies, methods for making the same, cells expressing the same, methods for identifying the same as well as components containing the same according to claims 25-39 or to the related subject-matter as defined in claims 40 to 44. With respect to antibodies against "activated" T-cells, see e.g. D4-D7 which disclose antibodies against "activated" T cells and in particular D4 which also describes the induction of microglial production of TNF

alpha production by the activated T-cells. This latter document mentions the relevance of its findings in various areas, e.g. inflammatory diseases such as RA. Thus, even if the major objections under Articles 5 and 6 PCT listed in section VIII could be overcome, said embodiments would still lack inventive step in the light of said documents, in combination with any of the documents as cited above.

4. For the assessment of the present claims 1-6,28 and 44-48 on the question whether they are industrially applicable, no unified criteria exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment and the use of such a compound for the manufacture of a medicament for a new medical treatment.

#### section VII

Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D1, D2 and D4-D7 above is not mentioned in the description, nor are these documents identified therein.

#### section VIII

- As already mentioned in the Search Report, the inhibitor compounds referred to 1. throughout the claimed subject-matter relate to an extremely large number of possible compounds and the use thereof (see e.g. all the known compounds cited at pages 19 and 20 of the present description as well as the numerous further possibilities cited throughout the application). Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the compounds claimed. In the present case, the claims so lack support, and the application so lacks disclosure for a part of said claims.
- In this context it should be noted that the present description and examples do not 2. give any precise examples of PI3 kinase "activators" (see claims 21-23), but

## **EXAMINATION REPORT - SEPARATE SHEET**

merely mention "inhibitors" of said enzyme in the context of detection methods for identifying compounds which would have efficacy in the treatment of a chronic inflammatory disease. The number of possibilities covered by the scope of said claims 21-23 is unduly broad (Article 6 PCT) and as no meaningful search could be performed for those parts of claims 21-23 covering said feature, no examination has been performed for said aspect of said claims. The same remark is valid mutatis mutandis for the compounds and antibodies throughout claims 24-48 (including the claimed nucleic acids encoding antibody/cytotoxin conjugates and claimed vectors and host cell lines related thereto) as the present description or examples do not disclose any compounds or "specific antibodies" which have actually been produced (no hybridomas). The examples merely recite a possible protocol with respect to a production of such antibodies, without however actually describing "produced" or "isolated" antibodies which would have the required specificity for "cytokine activated" Tcells. The required support withing the meaning of Article 6 PCT and disclosure within the meaning of Article 5 PCT are thus not given. It is for instance also not sure which cytokines, or combination of the same, would have to be used to activate T-cells which would show adequate antigenic determinants showing the required functional features recited in present claim 1 and which would be capable of generating specific antibodies thereto.

3. The term "antibody-like" molecule used in the claims is considered to be vaque and indefinite (Article 6 PCT).

# PATENT COOPERATION TREATY

# PCT

# INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of	f Transmittal of International Search Report
KENF/P23194PC	ACTION (FORM PCT/ISA/2.	20) as well as, where applicable, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/GB 00/03660	25/09/2000	24/09/1999
Applicant		
THE MATHILDA AND TERENCE	KENNEDY INSTITUTE OF	
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Authonsmitted to the International Bureau.	ority and is transmitted to the applicant
This International Search Report consists  [X] It is also accompanied by	of a total of sheets. a copy of each prior art document cited in this r	report.
Basis of the report		
a. With regard to the language, the language in which it was filed, unl	international search was carried out on the basi ess otherwise indicated under this item.	is of the international application in the
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of th	e international application furnished to this
was carried out on the basis of the	d/or amino acid sequence disclosed in the intesting :  onal application in written form.	ernational application, the international search
	rnational application in computer readable form	ı <b>.</b>
L	this Authority in written form.	
the statement that the sub	this Authority in computer readble form. osequently furnished written sequence listing do	pes not go beyond the disclosure in the
international application a	s filed has been furnished.	
the statement that the info furnished	rmation recorded in computer readable form is	identical to the written sequence listing has been
2. X Certain claims were fou	nd unsearchable (See Box I).	
3. X Unity of invention is lac	king (see Box II).	
4. With regard to the title,		
the text is approved as su		
	hed by this Authority to read as follows:	DIMING GUD GROUPG OF AGMINAMED
T-CELLS	NFLAMMATORY DISCASE BY INGL.	BITING SUB-GROUPS OF ACTIVATED ·
5. With regard to the abstract, the text is approved as su	ibmitted by the applicant.	
the text has been establis	thed, according to Rule 38.2(b), by this Authority e date of mailing of this international search rep	y as it appears in Box III. The applicant may, ort, submit comments to this Authority.
6. The figure of the <b>drawings</b> to be publ	ished with the abstract is Figure No.	
as suggested by the appl		X None of the figures.
because the applicant fail		
because this figure better	characterizes the invention.	

Form PCT/ISA/210 (first sheet) (July 1998)

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first she t)
This Inte	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. χ	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:  see FURTHER INFORMATION sheet PCT/ISA/210
2. X	Claims Nos.: Part of claims 1-3, 6 and 26-50 and whole of claim 5 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  see FURTHER INFORMATION sheet PCT/ISA/210
з. 🗌	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	rnational Searching Authority found multiple inventions in this international application, as follows:
	see additional sheet
1. X	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	on Protest  The additional search fees were accompanied by the applicant's protest.  X  No protest accompanied the payment of additional search fees.

International Application No PCT, 00/03660

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12N15/13 C12N15/62 C12Q1/68 C12Q1/37

A61K47/48

C12N15/06 C07K16/28 G01N33/53 A61K38/48 G01N33/573 C12N5/12

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{A61K} \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

BIOSIS, EPO-Internal, MEDLINE, WPI Data, PAJ, EMBASE

C. DOCUME	NTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the rele	evant passages	Relevant to claim No.
Х	FOXWELL BRIAN ET AL: "Efficient adenoviral infection with Ikappas reveals that macrophage tumor new factor alpha production in rheuma arthritis is NF-kappaB dependent. PROCEEDINGS OF THE NATIONAL ACADE SCIENCES OF THE UNITED STATES, vol. 95, no. 14, 7 July 1998 (199 pages 8211-8215, XP002098099 July 7, 1998 ISSN: 0027-8424 abstract page 8212, last paragraph -page 8212, last page 8212, last pag	crosis atoid "EMY OF 98-07-07),	1-4,6,7, 26,47-50
Y	paragraph 1	-/	8-25, 27-46
X Furth	ner documents are listed in the continuation of box C.	χ Patent family members are listed i	n annex.
"A" docume consid "E" earlier of filing d "L" docume which citation "O" docume other r "P" docume later th	nt which may throw doubts on priority claim(s) or is cited to establish the publication date of another or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or	"T" later document published after the inte or priority date and not in conflict with cited to understand the principle or the invention  "X" document of particular relevance; the c cannot be considered novel or cannot involve an inventive step when the do "Y" document of particular relevance; the c cannot be considered to involve an inventive step when the do "Y" document of particular relevance; the c cannot be considered to involve an involve a	the application but cory underlying the laimed invention be considered to current is taken alone laimed invention rentive step when the re other such docusto a person skilled family
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  Fax: (+31-70) 340-3016		Authorized officer Muller-Thomalla,	K

International Application No
PCT 00/03660

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to daim No.
Calegory	Ontation of occurrent, with moleation, where appropriate, of the relevant passages	Relevant to claim No.
Υ	EIGLER A ET AL: "Taming TNF: strategies to restrain this proinflammatory cytokine" IMMUNOLOGY TODAY, GB, ELSEVIER PUBLICATIONS, CAMBRIDGE, vol. 18, no. 10, 1 October 1997 (1997-10-01), pages 487-492, XP004091986 ISSN: 0167-5699 page 489, column 1, paragraph 2 -page 492, column 1, paragraph 2; table 2	8-25, 27-46
Y	SEBBAG M ET AL: "Cytokine stimulation of T lymphocytes regulates their capacity to induce monocyte production of tumor necrosis factor-alpha, but not interleukin-10: possible relevance to pathophysiology of rheumatoid arthritis." EUROPEAN JOURNAL OF IMMUNOLOGY, (1997 MAR) 27 (3) 624-32. XP000971524 abstract page 625, column 1, last paragraph -page 628, column 1, paragraph 1 page 630, column 1, paragraph 2 -page 631, column 2, paragraph 1	8-22
Y	WARD S G ET AL: "PI 3-kinase: a pivotal pathway in T-cell activation?" \\ IMMUNOLOGY TODAY,GB,ELSEVIER PUBLICATIONS, CAMBRIDGE, vol. 17, no. 4, 1 April 1996 (1996-04-01), pages 187-197, XP004034692 ISSN: 0167-5699 page 190, column 1, last paragraph -page 192, column 1, paragraph 1; figure 1	23-25
Y	BHATTACHARYYA S P ET AL: "Activated T lymphocytes induce degranulation and cytokine production by human mast cells following cell-to-cell contact."  JOURNAL OF LEUKOCYTE BIOLOGY, (1998 MAR) 63 (3) 337-41.,  XP000971545  abstract page 339, column 2, last paragraph -page 340, column 2, paragraph 1  -/	23-25

International Application No
PCT 00/03660

Category °	Citation of document, with indication, where appropriate, of the relevant passages	
Category	Chairon of document, with indication, where appropriate, or the relevant passages	Relevant to claim No.
Υ	CHABOT S ET AL: "Microglial production of TNF - alpha is induced by activated T lymphocytes. Involvement of VLA-4 and inhibition by interferonbeta-1b."  JOURNAL OF CLINICAL INVESTIGATION, (1997 AUG 1) 100 (3) 604-12.,  XP000971530  abstract page 604, column 2, last paragraph -page 605, column 1, paragraph 3 page 605, column 2, last paragraph -page 608, column 1, paragraph 1 page 609, column 1, paragraph 2 -page 611, column 2, paragraph 2	27-46
(	AVICE M N ET AL: "Lymphocyte activation gene-3, a MHC class II ligand expressed on activated T cells, stimulates TNF - alpha and IL-12 production by monocytes and dendritic cells."  JOURNAL OF IMMUNOLOGY, (1999 MAR 1) 162 (5) 2748-53.,  XP002156054 abstract page 2794, column 2, paragraph 2 -page 2750, column 1, paragraph 2 page 2752, column 1, paragraph 2 -column 2, paragraph 2	27-50
	US 5 085 985 A (MAINO VERNON C ET AL) 4 February 1992 (1992-02-04) column 7, line 64 -column 12, line 17	27-47
	MACLEAN J A ET AL: "Anti -CD3: anti - IL - 2 receptor bispecific monoclonal antibody. Targeting of activated T cells in vitro."  JOURNAL OF IMMUNOLOGY, (1993 FEB 15) 150 (4) 1619-28.,  XP002156055 abstract page 1625, column 2, paragraph 2 -page 1627, column 2, paragraph 3	27-47

International Application No
PCT 00/03660

X LONDEI M ET AL: "Cloning of activated t cells from rheumatoid arthritis joints detection of collagen type ii specific cells."  SYMPOSIUM ON MOLECULAR AND CELLULAR MECHANISMS OF HUMAN HYPERSENSITIVITY AND AUTOIMMUNITY HELD AT THE 17TH ANNUAL UCLA (UNIVERSITY OF CALIFORNIA-LOS ANGELES) SYMPOSIA ON MOLECULAR AND CELLULAR BIOLOGY, KEYSTONE, COLORADO, USA, APRIL 17-23, 1988. J CF, XP000971527 \ abstract  X COHEN S B ET AL: "High level of interleukin-10 production by the activated T cell population within the rheumatoid synovial membrane." ARTHRITIS AND RHEUMATISM, (1995 JUL) 38 (7) 946-52., XP000971529 \ page 946, column 1, line 1 -column 2, paragraph 2 page 947, column 1, paragraph 3 -page 948, column 1, paragraph 1 table 1 page 951, column 1, last paragraph -page 952, column 1, paragraph 2  EP 0 896 999 A (SHIONOGI & CO) 17 February 1999 (1999-02-17) page 6, line 31 -page 7, line 55; claims		Citation of document, with indication, where appropriate, of the relevant passages	Polyvant to alaim No.
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17 February 1999 (1999-02-17) page 6, line 31 -page 7, line 55; claims 1-8  MCINNES I B ET AL: "Interleukin 15: a proinflammatory role in rheumatoid arthritis synovitis" IMMUNOLOGY TODAY,GB,ELSEVIER PUBLICATIONS, CAMBRIDGE, vol. 19, no. 2, 1 February 1998 (1998-02-01), pages 75-79, XP004107030 ISSN: 0167-5699	X	interleukin-10 production by the activated T cell population within the rheumatoid synovial membrane."  ARTHRITIS AND RHEUMATISM, (1995 JUL) 38 (7) 946-52., XP000971529  page 946, column 1, line 1 -column 2, paragraph 2  page 947, column 1, paragraph 3 -page 948, column 1, paragraph 1  table 1  page 951, column 1, last paragraph -page	51-55
proinflammatory role in rheumatoid arthritis synovitis" IMMUNOLOGY TODAY,GB,ELSEVIER PUBLICATIONS, CAMBRIDGE, vol. 19, no. 2, 1 February 1998 (1998-02-01), pages 75-79, XP004107030 ISSN: 0167-5699	X	17 February 1999 (1999-02-17) page 6, line 31 -page 7, line 55; claims	51-55
	A	proinflammatory role in rheumatoid arthritis synovitis" IMMUNOLOGY TODAY,GB,ELSEVIER PUBLICATIONS, CAMBRIDGE, vol. 19, no. 2, 1 February 1998 (1998-02-01), pages 75-79, XP004107030 ISSN: 0167-5699	51-55

Continuation of Box I.1

Although claims 1-4,6,7,49 and 50 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

Although claims 8-10, 13-15,23-25 (insofar as they relate to an in vivo method) are directed to a diagnostic method practised on the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

Continuation of Box I.2

Claims Nos.: Part of claims 1-3, 6 and 26-50 and whole of claim 5

Present claims 1-3, 6 and 26-50 relate to an extremely large number of possible compounds and the use thereof (see e.g. all the known compounds cited at pages 19 and 20 of the present description as well as the numerous further possibilities cited throughout the application). Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the compounds claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for those parts of the claims which appear to be supported and disclosed, namely those parts relating to the use of the compounds as defined in claims 4 and 7 and as used in the examples.

In this context it should be noted that the present description and examples do not give any precise examples of PI3 kinase "activators", but merely mentions "inhibitors" of said enzyme in the context of detection methods for identifying compounds which would have efficacy in the treatment of a chronic inflammatory disease. Said claim 5 has thus not been searched as the number of possibilities covered by the scope of said claim is unduly broad not allowing a meaningful search to be performed.

The same remark is valid mutatis mutandis for the antibodies throughout claims 26-50 (including the claimed nucleic acids encoding antibody/cytotoxin conjugates and claimed vectors and host cell lines related thereto) as the present description or examples do not disclose any "specific antibodies" which have actually been produced (no hybridomas). The examples merely recite a possible protocol with respect to a production of such antibodies, without however actually describing "produced" or "isolated" antibodies which would have the required specificity for "cytokine activated" T-cells. The required support withing the meaning of Article 6 PCT and disclosure within the meaning of Article 5 PCT are thus not given. It is for instance also not sure which cytokines, or combination of the same, would have to be used to activate T-cells which would show adequate antigenic determinants identifiable by specific antibodies.

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The applicant's attention is drawn to the fact that claims, or parts of

claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

Continuation of Box I.1

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the compound/composition.

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This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: Part of claims 1-4,6-50

Method of treatment of a chronic inflammatory disease in a patient comprising the administration of a compound that selectively inhibits cytokine-activated T cells (designated Tck by the Applicant). Methods for identifying said compounds as well as the compounds per se.

2. Claims: 51-55

A preparation of T-cell enriched cells wherein the cells are from tissue from a site of inflammation in a patient suffering from a chronic inflammatory disease.

Box I Observations wher certain claims were found unsearchable (Continuation f item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
see FURTHER INFORMATION sheet PCT/ISA/210
2. X Claims Nos.: Part of claims 1-3, 6 and 26-50 and whole of claim 5 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  X  No protest accompanied the payment of additional search fees.

Information on patent family members

International Application No
PCT 00/03660

Patent document cited in search report		date	Patent family member(s)	Publication date
US 5085985	Α	04-02-1992	NONE	
EP 0896999	A	17-02-1999	AU 711303 B AU 1939897 A CA 2249023 A CN 1218506 A WO 9733977 A	07-10-1999 01-10-1997 18-09-1997 02-06-1999 18-09-1997



# (19) World Intellectual Property Organization International Bureau



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# (43) International Publication Date 29 March 2001 (29.03.2001)

#### **PCT**

# (10) International Publication Number WO 01/21202 A3

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- (21) International Application Number: PCT/GB00/03660
- (22) International Filing Date:

25 September 2000 (25.09.2000)

(25) Filing Language:

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- (30) Priority Data: 9922505.4 24 September 1999 (24.09.1999)
- (71) Applicant (for all designated States except US): THE MATHILDA AND TERENCE KENNEDY INSTITUTE OF RHEUMATOLOGY [GB/GB]; 1 Aspenlea Road, Hammersmith, London W6 8LH (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): BRENNAN, Fionula, Mary [AU/GB]; The Mathilda and Terence Kennedy Institute of Rheu, matology, 1 Aspenlea Road, Hammersmith, London W6 8LH (GB). FELDMANN, Marc [AU/GB]; The Mathilda and Terence Kennedy Institute of Rheu, matology, 1 Aspenlea Road, Hammersmith, London W6 8LH (GB). FOXWELL, Brian, Maurice,

- John [GB/GB]; The Mathilda and Terence Kennedy Institute of Rheu, matology, 1 Aspenlea Road, Hammersmith, London W6 8LH (GB).
- (74) Agent: BASSETT, Richard, S.: Eric Potter Clarkson. Park View House, 58 The Ropewalk, Nottingham NG1 5DD (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- (88) Date of publication of the international search report: 11 October 2001

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: TREATMENT OF CHRONIC INFLAMMATORY DISEASE BY INHIBITING SUB-GROUPS OF ACTIVATED T-CELLS

VO 01/21202 AS

(57) Abstract: The invention provides a method of treatment of a chronic inflammatory disease (such as rheumatoid arthritis) in a patient, the method comprising the administration to the patient of a compound that selectively inhibits  $T_{ck}$  cells. Preferably, said compound selectively inhibits  $T_{ck}$  cell-induced release of one or more pro-inflammatory cytokines from monocytes. Advantageously, said compound inhibits NF-kB. Conveniently, said compound activates PI3 kinase. The invention further provides a method of identifying a compound with efficacy in the treatment of a chronic inflammatory disease comprising the step of testing said compound for an ability to selectively inhibit  $T_{ck}$  cells. Preferably, said method of identifying a compound with efficacy in the treatment of a chronic inflammatory disease comprises the step of testing said compound for an ability to selectively inhibit  $T_{ck}$  cell-induced release of one or more pro-inflammatory cytokines from monocytes. Conveniently, the pro-inflammatory cytokine is tumour necrosis factor  $\alpha$  (TNF $\alpha$ ). The invention further provides compounds identifiable or identified by said methods and the use of said compounds in medicine. Additionally, the invention provides an antibody-like molecule with specificity for  $T_{ck}$  cells, and compounds comprising said antibody-like molecule and a cytotoxic moiety.

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Inter. .ronal Application No PCT\_CB 00/03660

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12N15/13 C12N15/62 C12Q1/68 C12Q1/37

A61K47/48

C12N15/06 C07K16/28

G01N33/53 A61K38/48 G01N33/573 C12N5/12

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

BIOSIS, EPO-Internal, MEDLINE, WPI Data, PAJ, EMBASE

	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of t	ne relevant passages	Relevant to claim No.
X	FOXWELL BRIAN ET AL: "Effici adenoviral infection with Ika reveals that macrophage tumor factor alpha production in rh arthritis is NF-kappaB depend PROCEEDINGS OF THE NATIONAL A SCIENCES OF THE UNITED STATES vol. 95, no. 14, 7 July 1998 pages 8211-8215, XP002098099 July 7, 1998 ISSN: 0027-8424 abstract	ppaBalpha necrosis eumatoid ent." CADEMY OF (1998-07-07),	1-4,6,7, 26,47-50
	<pre>page 8212, last paragraph -pa paragraph 1</pre>	ge 6215,	
Υ	paragraph 1		8-25, 27-46
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X Furti	her documents are listed in the continuation of box C.	Y Patent family members are listed	in annex.
° Special ca	ategories of cited documents :		annahin at filing abab
"A" docume	ent defining the general state of the art which is not dered to be of particular relevance	"T" later document published after the inte or priority date and not in conflict with cited to understand the principle or th invention	the application but
"E" earlier document but published on or after the international filling date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another		"X" document of particular relevance; the cannot be considered novel or canno involve an inventive step when the do "Y" document of particular relevance; the cannot be considered in the cannot be	t be considered to cument is taken alone claimed invention
"O" docum	n or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means	cannot be considered to involve an in document is combined with one or manents, such combination being obvious	ore other such docu-
later ti	ent published prior to the international filing date but han the priority date claimed	in the art. "%" document member of the same patent	
Date of the	actual completion of the international search	Date of mailing of the international sea	arch report
2	23 March 2001	3 0. 03. 01	
Name and	mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Muller-Thomalla,	К

Interi. nal Application No PCT/68 00/03660

	ation) DOCUMENTS CONSIDERED RELEVANT	Relevant to claim No.
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Helevant to claim No.
Y	EIGLER A ET AL: "Taming TNF: strategies to restrain this proinflammatory cytokine" IMMUNOLOGY TODAY, GB, ELSEVIER PUBLICATIONS, CAMBRIDGE, vol. 18, no. 10, 1 October 1997 (1997-10-01), pages 487-492, XP004091986 ISSN: 0167-5699 page 489, column 1, paragraph 2 -page 492, column 1, paragraph 2; table 2	8-25, 27-46
Y	SEBBAG M ET AL: "Cytokine stimulation of T lymphocytes regulates their capacity to induce monocyte production of tumor necrosis factor-alpha, but not interleukin-10: possible relevance to pathophysiology of rheumatoid arthritis." EUROPEAN JOURNAL OF IMMUNOLOGY, (1997 MAR) 27 (3) 624-32., XP000971524 abstract page 625, column 1, last paragraph -page 628, column 1, paragraph 1 page 630, column 1, paragraph 2 -page 631, column 2, paragraph 1	8-22
Y	WARD S G ET AL: "PI 3-kinase: a pivotal pathway in T-cell activation?" IMMUNOLOGY TODAY, GB, ELSEVIER PUBLICATIONS, CAMBRIDGE, vol. 17, no. 4, 1 April 1996 (1996-04-01), pages 187-197, XP004034692 ISSN: 0167-5699 page 190, column 1, last paragraph -page 192, column 1, paragraph 1; figure 1	23-25
Y	BHATTACHARYYA S P ET AL: "Activated T lymphocytes induce degranulation and cytokine production by human mast cells following cell-to-cell contact."  JOURNAL OF LEUKOCYTE BIOLOGY, (1998 MAR) 63 (3) 337-41.,  XP000971545 abstract page 339, column 2, last paragraph -page 340, column 2, paragraph 1	23-25

MIEMATIONAL SEARCH REPORT

PCT/GR 00/03660

	ation) DOCUMENTS CONSIDERED RELEVANT	Polovent to stein No.
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	CHABOT S ET AL: "Microglial production of TNF - alpha is induced by activated T lymphocytes. Involvement of VLA-4 and inhibition by interferonbeta-1b." JOURNAL OF CLINICAL INVESTIGATION, (1997 AUG 1) 100 (3) 604-12., XP000971530 abstract page 604, column 2, last paragraph -page 605, column 1, paragraph 3 page 605, column 2, last paragraph -page 608, column 1, paragraph 1 page 609, column 1, paragraph 2 -page 611, column 2, paragraph 2	27-46
x	AVICE M N ET AL: "Lymphocyte activation gene-3, a MHC class II ligand expressed on activated T cells, stimulates TNF - alpha and IL-12 production by monocytes and dendritic cells."  JOURNAL OF IMMUNOLOGY, (1999 MAR 1) 162 (5) 2748-53.,  XP002156054 abstract page 2794, column 2, paragraph 2 -page 2750, column 1, paragraph 2 page 2752, column 1, paragraph 2 -column 2, paragraph 2	27-50
X	US 5 085 985 A (MAINO VERNON C ET AL) 4 February 1992 (1992-02-04) column 7, line 64 -column 12, line 17	27-47
X	MACLEAN J A ET AL: "Anti -CD3: anti - IL - 2 receptor bispecific monoclonal antibody. Targeting of activated T cells in vitro."  JOURNAL OF IMMUNOLOGY, (1993 FEB 15) 150 (4) 1619-28., XP002156055 abstract page 1625, column 2, paragraph 2 -page 1627, column 2, paragraph 3	27-47

Interr. nal Application No PCT/GB 00/03660

	Citation of decument with indication when corresponds of the relevant	
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	LONDEI M ET AL: "Cloning of activated t cells from rheumatoid arthritis joints detection of collagen type ii specific cells."  SYMPOSIUM ON MOLECULAR AND CELLULAR MECHANISMS OF HUMAN HYPERSENSITIVITY AND AUTOIMMUNITY HELD AT THE 17TH ANNUAL UCLA (UNIVERSITY OF CALIFORNIA-LOS ANGELES) SYMPOSIA ON MOLECULAR AND CELLULAR BIOLOGY, KEYSTONE, COLORADO, USA, APRIL 17-23, 1988. J CE, XP000971527 abstract	51-55
	COHEN S B ET AL: "High level of interleukin-10 production by the activated T cell population within the rheumatoid synovial membrane."  ARTHRITIS AND RHEUMATISM, (1995 JUL) 38 (7) 946-52.,  XP000971529  page 946, column 1, line 1 -column 2, paragraph 2  page 947, column 1, paragraph 3 -page 948, column 1, paragraph 1  table 1  page 951, column 1, last paragraph -page 952, column 1, paragraph 2	51-55
X	EP 0 896 999 A (SHIONOGI & CO) 17 February 1999 (1999-02-17) page 6, line 31 -page 7, line 55; claims 1-8	51-55
4	MCINNES I B ET AL: "Interleukin 15: a proinflammatory role in rheumatoid arthritis synovitis" IMMUNOLOGY TODAY, GB, ELSEVIER PUBLICATIONS, CAMBRIDGE, vol. 19, no. 2, 1 February 1998 (1998-02-01), pages 75-79, XP004107030 ISSN: 0167-5699 the whole document	51-55

Box   Observations where certain claims were found unsearchable (Continuation of it in 1 of instance)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:  See FURTHER INFORMATION sheet PCT/ISA/210
2. X Claims Nos.: Part of claims 1-3, 6 and 26-50 and whole of claim 5 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  See FURTHER INFORMATION sheet PCT/ISA/210
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2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  X  No protest accompanied the payment of additional search fees.

Continuation of Box I.1

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Continuation of Box I.2

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The applicant's attention is drawn to the fact that claims, or parts of

claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: Part of claims 1-4,6-50

Method of treatment of a chronic inflammatory disease in a patient comprising the administration of a compound that selectively inhibits cytokine-activated T cells (designated Tck by the Applicant). Methods for identifying said compounds as well as the compounds per se.

2. Claims: 51-55

A preparation of T-cell enriched cells wherein the cells are from tissue from a site of inflammation in a patient suffering from a chronic inflammatory disease.

#### Information on patent family members

Inter. July Application No PCT/CP 00/03660

Patent document cited in search report		date	Patent family member(s)	Publication date
US 5085985	Α	04-02-1992	NONE	
EP 0896999	Α	17-02-1999	AU 711303 B AU 1939897 A CA 2249023 A CN 1218506 A WO 9733977 A	07-10-1999 01-10-1997 18-09-1997 02-06-1999 18-09-1997

# **PCT**

# REQUEST

For receiving Office use only	
International Application No.	
International Filing Date.	
Name of receiving Office and "PCT International	Application"

	International Filing Date.			
The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.	Name of receiving Office and "PCT International Application"			
	Applicant's or agent's file reference (if desired) (12 characters maximum)  KENF / P23194PC			
Box No. I TITLE OF INVENTION THERAPEUTIC METHODS AND COMPOU	INDS			
Box No. II APPLICANT				
Name and address: (Family name followed by given name; for designation. The address must include postal code and name of address indicated in this Box is the applicant's State (that is, cour of residence is indicated below.)	country. The country of the This person is also inventor			
The Mathilda and Terence Kennedy Institute of Rheumatology 1 Aspenlea Road	Telephone No.			
Hammersmith London	Facsimile No.			
W6 8LH United Kingdom	Teleprinter No.			
State (that is, country) of nationality:  GB	State (that is, country) of residence:  GB			
	1 States except attes of America only the United States the States indicated in the Supplemental Box			
Box No. III FURTHER APPLICANT(S) AND/OR (FUR				
Name and address: (Family name followed by given name; for designation. The address must include postal code and name of address indicated in this Box is the applicant's State (that is, cour of residence is indicated below.)  BRENNAN, Fionula Mary The Mathilda and Terence Kennedy Institute of Rheumatology 1 Aspenlea Road Hammersmith	country. The country of the ntry) of residence if no State  This person is:  applicant only			
London W6 8LH	applicant and inventor			
United Kingdom	inventor only (if this check-box is marked, do not fill in below.)			
State (that is, country) of nationality: AU	State (that is, country) of residence: GB			
This person is applicant all designated all designated for the purposes of: States all designated the United States	States except X the United States the States indicated in the States of America only Supplemental Box			
X Further applicants and/or (further) inventors are indicated of	on a continuation sheet.			
	VE; OR ADDRESS FOR CORRESPONDENCE			
The person identified below is hereby/has been appointed to act of the applicant(s) before the competent International Authorities a	as:ecinimon representative			
Name and address: (Family name followed by given name; for a designation. The address must include postal of Bassett, Richard S				
Eric Potter Clarkson Park View House	Facsimile No (0115) 9552201			
58 The Ropewalk Nottingham. NG1 5DD GB	Teleprinter No. 37540 Potter G			
Address for correspondence: Mark this check-box where space above is used instead to indicate a special address to	no agent or common representative is/has been appointed and the which correspondence should be sent.			

Sheet No. 2

Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)					
If none of the following sub-boxes is used, this sheet should not be included in the request.					
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  FELDMANN, Marc	This person is:				
The Mathilda and Terence Kennedy Institute of Rheumatology  1 Aspenlea Road	applicant only				
Hammersmith London	X applicant and inventor				
W6 8LH United Kingdom	inventor only (if this check-box is marked, do not fill in below.)				
State (that is, country) of nationality: AU State (that is, country) of res	sidence: GB				
	nited States the States indicated in the Supplemental Box				
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)  FOXWELL, Brian Maurice John	This person is:				
The Mathilda and Terence Kennedy Institute of Rheumatology  1 Aspenlea Road	applicant only				
Hammersmith London	X applicant and inventor				
W6 8LH United Kingdom	inventor only (if this check-box is marked, do not fill in below.)				
State (that is, country) of nationality: GB State (that is, country) of res	idence: GB				
	ited States the States indicated in the Supplemental Box				
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	This person is:				
	applicant only				
	applicant and inventor				
inventor only (if this check is marked, do not fill in be					
State (that is, country) of nationality: State (that is, country) of resi	dence:				
	ited States the States indicated in the Supplemental Box				
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	This person is:				
	applicant only				
	applicant and inventor				
	inventor only (if this check-box is marked, do not fill in below.)				
State (that is, country) of nationality:  State (that is, country) of residence:					
This person is applicant for the purposes of:  all designated the United States all designated the United States of America only the Supplemental Box					
Further applicants and/or (further) inventors are indicated on a continuation sheet.					

	No.V	DESIGNATION OF STATES			
The fo	ollowir	ng designations are hereby made under Rule 4.9(a) (mar	rk the ap	plicable	check-boxes: at least one must be marked):
Regional Patent					
		1000 D 1 1 000 D 1 1 000 D 1			
X	AP	ARIPO Patent: GH Ghana, GM Gambia, KE Keny	/a, LS Le	:sotho, I	MW Malawi, SD Sudan, SL Sierra Leone, SZ
		Swaziland, IZ United Republic of Tanzania, UG Ug	anda, ZV	<b>W</b> Zimb	pabwe, and any other State which is a Contracting State
		of the Harare Protocol and of the PCT			
X	EA	Eurasian Patent: AM Armenia, AZ Azerbaijan, BY	/ Relarus	KGK	remotion V7 Varabhetan MD Republic of
سا	En .	Moldova, RU Russian Federation, TJ Tajikistan, TM	Turkm	, Mus.	JTBYZSIAN, N.Z. KAZAKIISIAN, IVID KEPUUNG OI
		of the Eurasian Patent Convention and of the PCT	IIUM	Mistan,	and any other State which is a Contracting State
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X	EP	European Patent: AT Austria, BE Belgium, CH an	id LI Sw	itzerlan	d and Liechtenstein. CY Cyprus, DE Germany.
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		any other state which is a member state of OAF1 and	a Conur	acting 5	State of the PCT (if other kind of protection or treatment
		desired, specify on dotted line)	• • • • • • •	• • • • • •	
Natior	nal Pat	tent (if other kind of protection or treatment desired, spe	ecify on (	dotted l	inol·
X	AE	United Arab Emirates	XI	LR	·
$\overline{\mathbf{x}}$	AL	Albania			Liberia
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X	AT	Austria	<b>⊠</b>	LU	Luxembourg
X	AU	Australia	$\boxtimes$	LV	Latvia
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X	CU	Cuba	Ø	PL	Poland
	CZ	Czech Republic		PT	Portugal
	DE	Germany	<u>X</u>	RO	Romania
	DK	Denmark			
=	DM DM	Dominica	X	RU	Russian Federation
	EE EE			SD	Sudan
		Estonia		SE	Sweden
	ES	Spain	X	$\mathbf{s}\mathbf{G}$	Singapore
	FI	Finland	X	SI	Slovenia
	GB	United Kingdom	$\boxtimes$	SK	Slovakia
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		Japan	X	UZ	Uzbekistan
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44		Democratic People's Republic of Korea	<u>⊠</u>	ZA	South Africa.
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ו תח			∑ Charle I	ZW	Zimbabwe
- E		Republic of Korea			served for designating States which have
ias		Kazakhstan			the PCT after issuance of this sheet:
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14.4		Sri Lanka  Designation Statement: In addition to the designation	oxdim Z	AG Ar	ntigua and Barbuda. 🔲 MZ Mozambique

designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of

Sheet No. 4

Box No. VI PRIORITY C	LAIM	Further priority claims are indicated in the Supplemental Box.			
Filing date Number		Where earlier application is:			
of earlier application (day/month/year)	of earlier application	National application: Country	regional application:* regional Office	international application: receiving Office	
item (1)					
24 September 1999 (24/09/1999)	9922505.4	GB			
item (2)					
item (3)					
The receiving Office is	requested to prepare and to	ransmit to the International I	Bureau a certified copy	L	
purposes of the presen	t international application	olication was filed with the C is the receiving Office) ident	ified above as item(s):	(1)	
* Where the earlier application is Convention for the Protection of I	an ARIPO application, it is mundustrial Property for which the	andatory to indicate in the Supp nat earlier application was filed	lemental Box at least one cou (Rule 4,10(b)(ii)), See Supple	ntry party to the Paris mental Box.	
	IONAL SEARCHING AT		(217//		
Choice of International Sear		Request to use results of			
(if two or more International competent to carry out the intern	ational search, indicate the	search has been carried out by	or requested from the Internation  Number		
Authority chosen; the two-letter co	ме тиу ве изеи).	Date (day/month/year)	14unoci	Country (or regional Office)	
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This international application the following number of shee	contains This internation	nal application is accompan	ied by the item(s) marked	below:	
request :	4 1. T fee	calculation sheet			
description (excluding	·   · · <u>-</u>	parate signed power of attorn	iey		
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abstract :	ority document(s) identified				
drawings :	·   ₩'	nslation of international appl			
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Total number of sheets :	=	er (specify): Form 23/7	•		
Figure of the drawings which	1	Language of filing of the	English		
should accompany the abstract	<u>:                                      </u>	International application:			
Box No. IX SIGNATUR  Next to each signature, indicate the	E OF APPLICANT OR A		one (if such canacity is not obvi	our from reading the request)	
Next to each signature, indicate the	name of the person signing and t	ne capacity in which the person si	gns (i) such copacity is not our	ous from reacting the requesty.	
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	•		Richard S Basset	π .	
1. Date of actual receipt of		receiving Office use only	<del></del>	T	
international application:			······································	2. Drawings:	
3. Corrected date of actual r				received:	
timely received papers or the purported internation:				Leceived:	
4. Date of timely receipt of	the required			not received:	
corrections under PCT Article 11(2):  5. International Searching Authority  6. Transmittal of search copy delayed			''		
(if two or more are comp		until searc	ch fee is paid.		

## PCT

#### NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL **APPLICATION TO THE DESIGNATED OFFICES**

(PCT Rule 47.1(c), first sentence)

BASSETT, Richard, S. **Eric Potter Clarkson** Park View House 58 The Ropewalk Nottingham NG1 5DD ROYAUME-UNI

From the INTERNATIONAL BUREAU

IMPORTANT NOTICE

Date of mailing (day/month/year) 29 March 2001 (29.03.01)

Applicant's or agent's file reference KENF/P23194PC

International application No. PCT/GB00/03660

International filing date (day/month/year) 25 September 2000 (25.09.00)

Priority date (day/month/year) 24 September 1999 (24.09.99)

Applicant

THE MATHILDA AND TERENCE KENNEDY INSTITUTE OF RHEUMATOLOGY et al

Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice: AU, KP, KR, US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AG,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,BZ,CA,CH,CN,CR,CU,CZ,DE,DK,DM,DZ,EA,EE,EP,ES, FI,GB,GD,GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK, MN,MW,MX,MZ,NO,NZ,OA,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU, The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 29 March 2001 (29.03.01) under No. WO 01/21202

#### REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

## REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

J. Zahra

Facsimile No. (41-22) 740,14,35

Telephone No. (41-22) 338.83.38

## PATENT COOPERATION TREAT

1	$DC^{-}$
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#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

#### From the INTERNATIONAL BUREAU

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24

Arlington, VA 22202 ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 28 June 2001 (28.06.01)

International application No. PCT/GB00/03660

International filing date (day/month/year) 25 September 2000 (25.09.00)

(day/month/year)

KENF/P23194PC

Priority date (day/month/year)

Applicant's or agent's file reference

24 September 1999 (24.09.99)

**Applicant** 

Stark.

...

BRENNAN, Fionula, Mary et al

1.	The designated Office is hereby notified of its election made:				
	X in the demand filed with the International Preliminary Examining Authority on:				
	18 April 2001 (18.04.01)				
	in a notice effecting later election filed with the International Bureau on:				
2.	The election X was				
	was not				
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).				

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Juan Cruz

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35

# PATENT COOPERATION TREATY

PCT		From the INTERNATIONAL BUREAU			
NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)  Date of mailing (day/month/year) 15 April 2002 (15.04.02)		BASSETT, Richard, S. Eric Potter Clarkson Park View House 58 The Ropewalk Nottingham NG1 5DD ROYAUME-UNI			
Applicant's or agent's file reference					
KENF/P23194PC		IMPORTANT NOTIFICATION			
International application No. PCT/GB00/03660	1	International filing date (day/month/year) 25 September 2000 (25.09.00)			
The following indications appeared on record concerning:					
X the applicant the inventor	the age	nt he commo	on representative		
Name and Address	100, 7 , 12	State of Nationality	State of Residence		
THE MATHILDA AND TERENCE KENNEDY INSTITUTE OF RHEUMATOLOGY TRUST	•	GB	GB		
1 Aspenlea Road Hammersmith		Telephone No.			
London W6 8LH		Facsimile No.			
United Kingdom					
		Teleprinter No.			
2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:					
X the person the name the add	dress	the nationality	the residence		
Name and Address		State of Nationality	State of Residence		
SYNOVIS LIMITED 90 Fetter Lane		GB	GB		
London EC4A 1JP United Kingdom		Telephone No.			
Simos iningsom	Facsimile No.				
	Teleprinter No.				
2 Europas characters if					
3. Further observations, if necessary:					
4. A copy of this notification has been sent to:					
X the receiving Office	ſ	the designated Offices concerned			
the International Searching Authority		X the elected Offices concerned			
the International Preliminary Examining Authority	į	other:			
	Authorica d	officer			
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland		Authorized officer  Lazar Joseph PANAKAL			
Facsimile No.: (41-22) 740.14.35		Telephone No.: (41-22) 338.83.38			

Form PCT/IB/306 (March 1994)